

# An Improved Sample Complexity Lower Bound for (Fidelity) Quantum State Tomography

Henry Yuen

Columbia University

We show that  $\Omega(rd/\epsilon)$  copies of an unknown rank- $r$ , dimension- $d$  quantum mixed state are necessary in order to learn a classical description with  $1 - \epsilon$  fidelity. This improves upon the tomography lower bounds obtained by Haah et al. and Wright (when closeness is measured with respect to the fidelity function).

## 1 Background

We consider quantum state tomography: given  $n$  copies of a mixed state  $\rho$ , output a classical description of a state  $\sigma$  that is close to  $\rho$ . In this note we measure closeness between  $\rho$  and  $\sigma$  via their *fidelity*  $F(\rho, \sigma)$ , defined as the supremum of  $|\langle \varphi | \psi \rangle|^2$  over all purifications  $|\psi\rangle, |\varphi\rangle$  of  $\rho, \sigma$  respectively<sup>1</sup>. In *fidelity tomography*, the goal is for the output state  $\sigma$  to satisfy  $F(\rho, \sigma) \geq 1 - \epsilon$ . Haah et al. [2] showed that  $n = O(rd \log(d/\epsilon)/\epsilon)$  is sufficient for fidelity tomography where  $r$  is the rank of the density matrix  $\rho$ . They also proved a  $n = \Omega\left(\frac{rd}{\delta^2 \log(d/r\delta)}\right)$  lower bound for *trace distance tomography*, where the goal is to output a state  $\sigma$  whose trace distance  $\|\rho - \sigma\|_1 = \frac{1}{2} \text{Tr}(|\rho - \sigma|)$  with  $\rho$  is at most  $\delta$ . The Fuchs-van de Graff inequalities then imply a  $n = \Omega\left(\frac{rd}{\epsilon \log(d/r\epsilon)}\right)$  lower bound for fidelity tomography; thus their upper and lower bounds are tight up to logarithmic factors. O'Donnell and Wright [4] proved that  $n = O(rd/\delta^2)$  input samples are sufficient for trace distance tomography. In his PhD thesis, Wright [7] showed that  $n = \Omega(rd)$  samples are necessary for both fidelity and trace distance tomography; this is optimal when the desired trace distance error  $\delta$  or infidelity  $\epsilon$  is fixed to a constant, but otherwise is loose when the error is treated as a quantity going to zero.

In this note we improve the lower bound of Haah et al. [2] and Wright [7] in the fidelity tomography case and show that  $n = \Omega(rd/\epsilon)$  input samples are needed. It is natural to conjecture that the optimal bound for fidelity tomography is  $n = \Theta(rd/\epsilon)$ ; however we leave obtaining a matching upper bound for future work.

## 2 The argument

We prove our lower bound via reduction to the *pure state* tomography scenario, in which the input samples  $\rho$  are guaranteed to be pure states (in other words, the rank of the density matrix is 1). It was proved by Bruß and Macchiavello [1] that  $n = \Theta(d/\epsilon)$  samples are necessary and sufficient to achieve fidelity  $1 - \epsilon$ ; this was based on a tight connection between optimal pure state tomography and optimal pure state cloning [3, 5].

Suppose there is an algorithm  $\mathcal{A}$  that, on input  $n$  copies of a rank- $r$ , dimension- $d$  mixed state  $\rho$ , outputs with high probability a classical description of a state  $\sigma$  that has fidelity  $1 - \epsilon$  with  $\rho$ . Then we use this algorithm to construct another algorithm  $\mathcal{B}$  that performs tomography on *pure*, dimension- $rd$

<sup>1</sup>We note that there are two versions of fidelity considered in the literature; this is the *squared* one.

states using  $O\left(n + \frac{r^2}{\epsilon}\right)$  input samples and achieves  $1 - O(\epsilon)$  fidelity. The performance of algorithm  $\mathcal{B}$  is subject to the bounds of Bruß and Macchiavello [1] – in other words,  $\mathcal{B}$  must use at least  $\Omega(rd/\epsilon)$  input samples. Thus it must be that

$$n = \Omega(rd/\epsilon) - O(r^2/\epsilon) = \Omega(rd/\epsilon) ,$$

as desired.

The algorithm  $\mathcal{B}$  works as follows. Let  $|\psi\rangle_{XY}$  denote the  $rd$ -dimensional pure input sample where  $X$  denotes an  $r$ -dimensional register and  $Y$  denotes a  $d$ -dimensional register.

1. The algorithm  $\mathcal{B}$  takes  $n$  input samples  $|\psi\rangle_{XY}$  and traces out the  $X$  register in each copy to obtain  $n$  copies of a mixed state  $\rho \in \mathbb{C}^{d \times d}$ .
2. Run algorithm  $\mathcal{A}$  on the  $n$  copies of  $\rho$  to obtain (with high probability) a classical description of a rank- $r$ , dimension- $d$  state  $\sigma$  that has fidelity  $1 - \epsilon$  with  $\rho$ .
3. Compute a classical description of the rank- $r$  projector  $\Pi$  onto the support of  $\sigma$ .
4. Take  $O(r^2/\epsilon)$  additional copies of the input state  $|\psi\rangle_{XY}$  and measure the  $Y$  register of each copy using the projective measurement  $\{\Pi, I - \Pi\}$ , and keep the post-measurement states  $|\tilde{\psi}\rangle$  of the copies where the  $\Pi$  outcome was obtained.
5. Use the tomography procedure of [1] for dimension- $r^2$  pure states on the copies of  $|\tilde{\psi}\rangle$  where we treat the states as residing in the dimension- $r^2$  subspace

$$\mathbb{C}^r \otimes \text{supp}(\Pi) \subseteq \mathbb{C}^r \otimes \mathbb{C}^d .$$

Let  $|\varphi\rangle \in \mathbb{C}^r \otimes \text{supp}(\Pi)$  denote the result of this pure state tomography procedure. The algorithm  $\mathcal{B}$  then outputs the classical description of  $|\varphi\rangle$  as its estimation of  $|\psi\rangle$ .

We analyze the algorithm  $\mathcal{B}$ . Let

$$|\psi\rangle_{XY} = \sum_{i=1}^r \lambda_i |u_i\rangle \otimes |v_i\rangle$$

denote the Schmidt decomposition of  $|\psi\rangle$  where  $\{|u_1\rangle, \dots, |u_r\rangle\}$  is an orthonormal basis for  $\mathbb{C}^r$  and  $\{|v_1\rangle, \dots, |v_r\rangle\}$  is an orthogonal set of vectors in  $\mathbb{C}^d$ . We can then write  $\rho$  as

$$\rho = \text{Tr}_X(|\psi\rangle\langle\psi|) = \sum_{i=1}^r \lambda_i^2 |v_i\rangle\langle v_i| .$$

Note that  $\rho$  is a rank- $r$  density matrix.

Let  $\sigma = \sum_{i=1}^r \mu_i |w_i\rangle\langle w_i|$  denote the estimate produced by Step 2. By the guarantees of algorithm  $\mathcal{A}$ , we have that (with high probability)  $F(\rho, \sigma) \geq 1 - \epsilon$ . Let  $\Pi = \sum_{i=1}^r |w_i\rangle\langle w_i|$  denote the projector onto the support of  $\sigma$ . By the definition of fidelity, there exists a purification  $|\varphi\rangle \in \mathbb{C}^r \otimes \mathbb{C}^d$  of  $\sigma$  such that

$$F(\rho, \sigma) = |\langle\psi | \varphi\rangle|^2 \geq 1 - \epsilon .$$

On the other hand,

$$|\langle\psi | \varphi\rangle|^2 = |\langle\psi | (I \otimes \Pi) |\varphi\rangle|^2 \leq \langle\psi | I \otimes \Pi |\psi\rangle \cdot \langle\varphi | \varphi\rangle = \langle\psi | I \otimes \Pi |\psi\rangle$$

where the inequality uses Cauchy-Schwarz. Let  $|\tilde{\psi}\rangle = (I \otimes \Pi) |\psi\rangle / \|(I \otimes \Pi) |\psi\rangle\|$ , and observe that

$$\begin{aligned} |\langle\tilde{\psi} | \psi\rangle|^2 &= \frac{1}{\|(I \otimes \Pi) |\psi\rangle\|^2} |\langle\psi | I \otimes \Pi |\psi\rangle|^2 \\ &= \frac{1}{|\langle\psi | I \otimes \Pi |\psi\rangle|} |\langle\psi | I \otimes \Pi |\psi\rangle|^2 \\ &= |\langle\psi | I \otimes \Pi |\psi\rangle| \geq 1 - \epsilon . \end{aligned}$$

The number of copies of  $|\tilde{\psi}\rangle$  available in Step 5 is, with high probability, at least  $\Omega(r^2/\epsilon)$ . Thus the estimate  $|\varphi\rangle$  computed by Step 5 will satisfy

$$|\langle\varphi|\tilde{\psi}\rangle|^2 \geq 1 - \epsilon$$

with high probability.

We now turn to a simple geometric proposition: if  $|\langle\tilde{\psi}|\psi\rangle| \geq 1 - \eta$  and  $|\langle\varphi|\tilde{\psi}\rangle| \geq 1 - \eta$ , then  $|\langle\varphi|\psi\rangle| \geq 1 - 4\eta$ . This is because  $|\langle\tilde{\psi}|\psi\rangle| \geq 1 - \eta$  implies

$$\| |\tilde{\psi}\rangle - e^{i\alpha} |\psi\rangle \|^2 = 2 - 2|\langle\tilde{\psi}|\psi\rangle| \leq 2\eta$$

where  $e^{i\alpha}$  is a complex phase satisfying  $|\langle\tilde{\psi}|\psi\rangle| = e^{i\alpha} \langle\tilde{\psi}|\psi\rangle$ . Similarly  $\| |\tilde{\psi}\rangle - e^{i\beta} |\varphi\rangle \|^2 \leq 2\eta$  for some complex phase  $e^{i\beta}$ . Then by triangle inequality we have

$$\begin{aligned} 2 - 2|\langle\varphi|\psi\rangle| &\leq \| e^{i\beta} |\varphi\rangle - e^{i\alpha} |\psi\rangle \|^2 \\ &\leq 2\| |\tilde{\psi}\rangle - e^{i\alpha} |\psi\rangle \|^2 + 2\| |\tilde{\psi}\rangle - e^{i\beta} |\varphi\rangle \|^2 \leq 8\eta . \end{aligned}$$

The proposition follows via rearrangement.

Therefore with high probability, the estimate  $|\varphi\rangle$  produced by algorithm  $\mathcal{B}$  satisfies

$$|\langle\varphi|\psi\rangle|^2 \geq (1 - 8\epsilon)^2 \geq 1 - 16\epsilon .$$

### 3 Conclusion

We proved a  $\Omega(rd/\epsilon)$  sample complexity lower bound for fidelity tomography for rank- $r$ , dimension- $d$  mixed states where  $1 - \epsilon$  is the fidelity of the resulting estimate. This is proved via reduction to the  $\Omega(d/\epsilon)$  lower bound for pure state tomography established by [1]. In contrast, the lower bounds of [2] and [7] are based on communication complexity arguments. Natural questions include: (a) whether the upper bound for fidelity tomography can be improved to  $O(rd/\epsilon)$ , and (b) whether a  $\Omega(rd/\delta^2)$  lower bound can be established for trace distance tomography. One obstacle to extending our argument to the trace distance setting is that we do not know whether applying the projection  $\Pi$  to the state  $|\psi\rangle$  (if  $\Pi$  is the projector onto the support of a state  $\sigma$  that is  $\delta$ -close to  $\rho$  in trace distance) yields a state that is  $O(\delta)$ -close to  $|\psi\rangle$  in trace distance. The Gentle Measurement Lemma [6] implies that the post-measurement state is  $O(\sqrt{\delta})$ -close to  $|\psi\rangle$ ; this ultimately yields a  $\Omega(rd/\delta)$  lower bound on trace distance tomography, which we believe is not optimal.

### Acknowledgments

We thank John Wright, Jeongwan Haah, and an anonymous reviewer for helpful feedback. This work was supported by AFOSR award FA9550-21-1-0040, NSF CAREER award CCF-2144219, and the Sloan Foundation.

### References

- [1] Dagmar Bruß and Chiara Macchiavello. Optimal state estimation for  $d$ -dimensional quantum systems. *Physics Letters A*, 253(5-6):249–251, 1999. DOI: [https://doi.org/10.1016/S0375-9601\(99\)00099-7](https://doi.org/10.1016/S0375-9601(99)00099-7).
- [2] Jeongwan Haah, Aram W Harrow, Zhengfeng Ji, Xiaodi Wu, and Nengkun Yu. Sample-optimal tomography of quantum states. *IEEE Transactions on Information Theory*, 63(9):5628–5641, 2017. DOI: <https://doi.org/10.1145/2897518.2897585>.
- [3] Michael Keyl and Reinhard F Werner. Optimal cloning of pure states, testing single clones. *Journal of Mathematical Physics*, 40(7):3283–3299, 1999. DOI: <https://doi.org/10.1063/1.532887>.

- [4] Ryan O’Donnell and John Wright. Efficient quantum tomography. In *Proceedings of the forty-eighth annual ACM symposium on Theory of Computing*, pages 899–912, 2016. DOI: <https://doi.org/10.1145/2897518.2897544>.
- [5] Reinhard F Werner. Optimal cloning of pure states. *Physical Review A*, 58(3):1827, 1998. DOI: <https://doi.org/10.1103/PhysRevA.58.1827>.
- [6] Andreas Winter. Coding theorem and strong converse for quantum channels. *IEEE Transactions on Information Theory*, 45(7):2481–2485, 1999. DOI: <https://doi.org/10.1109/18.796385>.
- [7] John Wright. *How to learn a quantum state*. PhD thesis, Carnegie Mellon University, 2016.